Performance Audit

Offender Management System (OMS)

Department of Corrections (DOC) and Department of Technology, Management, and Budget (DTMB)

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DOC uses OMS to maintain and process offender-related information, including release date computations, daily counts of offenders housed at DOC correctional facilities, and misconduct tracking. OMS data is also used to generate notifications to crime victims as required by Michigan law. DOC implemented OMS in August 2014 at a cost of $13.8 million. As of April 2017, OMS had 2,881 active users.

Audit Objective	Conclusion
Objective #1: To assess the effectiveness of DOC's and DTMB's security and access controls over OMS.	Moderately effective

Findings Related to This Audit Objective	Material Condition	Reportable Condition	Agency Preliminary Response
DOC did not fully establish and implement access controls over OMS. Forms to approve user access were not maintained for 89% of our sample items, 35% of users did not use their accounts for over 60 days, and 2 (17%) of 12 user roles tested allowed greater capabilities than what was intended (Finding #1).	X		Agrees

Audit Objective	Conclusion
Objective #2: To assess the effectiveness of DOC's OMS.	Effective

Findings Related to This Audit Objective	Material Condition	Reportable Condition	Agency Preliminary Response
None reported.	Not applicable.		

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