



STATE OF MICHIGAN
DEPARTMENT OF CORRECTIONS
LANSING

RICK SNYDER
GOVERNOR

HEIDI E. WASHINGTON
DIRECTOR

October 24, 2018

Rick Lowe, Chief Internal Auditor
Office of Internal Audit Services
Office of the State Budget
George W. Romney Building
111 South Capitol, 6th Floor
Lansing, MI 48913

Dear Mr. Lowe:

In accordance with the State of Michigan, Financial Management Guide, Part VII, attached is a summary table identifying our responses and a corrective action plan to address the recommendations contained within the Office of Auditor General's performance audit of the Offender Management System.

Questions regarding the summary table or corrective action should be directed to Julie Hamp, Administrator, Procurement, Monitoring and Compliance Division at 335-6886.

Sincerely,

DEPARTMENT OF CORRECTIONS

Signature Redacted

Heidi E. Washington, Director

Attachment

c: Executive Office
Office of the Auditor General
House Fiscal Agency
Senate Fiscal Agency
House Appropriations Sub-Committee on Corrections
Senate Appropriations Sub-Committee on Judiciary and Corrections
House Judiciary Committee
Senate Judiciary Committee
J. Sherry
J. Anderson
J. Hamp
D. McFadden

**Responses to Office of the Auditor General's Performance Audit of
Offender Management System**

Summary Table
Audit recommendations the agency complied with:
Audit recommendations the agency will comply with and expected compliance date: 1, April 2019

Corrective Action Plan

1. Improved OMS access controls are needed.

The auditors recommended that DOC fully establish and implement access controls over OMS to help mitigate the risk of unauthorized access, use, and modification of offender data.

Agency Preliminary Response

DOC agrees with the recommendation and has taken steps to comply.

MDOC Data Security and Privacy will write a formal office procedure for managing access to OMS to include:

- Responsibilities and roles for managing access;*
- Required forms including necessary form updates, approvals, and retention plans;*
- Procedure for verifying proper initial user account access levels and periodic review to ensure ongoing maintenance of least privilege for MDOC, DTMB, and contractual users;*
- Routine monitoring of user activity, including privileged users;*
- Period review of user access roles.*

The procedure is expected to be completed by April 1, 2019.

MDOC will work with DTMB to investigate and develop an implementation plan to employ an OMS user session time out after 15 minutes of inactivity.

This implementation plan is expected to be completed by April 1, 2019

The MiSAP Security Assessment Plan has one remaining security gap for OMS which will be addressed by November 30, 2018.