



STATE OF MICHIGAN
DEPARTMENT OF CORRECTIONS
LANSING

RICK SNYDER
GOVERNOR

HEIDI E. WASHINGTON
DIRECTOR

July 13, 2016

Bryan Weiler, Acting Chief Internal Auditor
Office of Internal Audit Services
Office of the State Budget
George W. Romney Building
111 South Capitol, 6th Floor
Lansing, MI 48913

Dear Mr. Weiler:

In accordance with the State of Michigan, Financial Management Guide, Part VII, attached is a summary table identifying our responses and a corrective action plan to address the recommendations contained within the Office of Auditor General's performance audit of the Trust Accounting and Payroll System.

Questions regarding the summary table or corrective action should be directed to Julie Hamp, Administrator, Procurement, Monitoring and Compliance Division at 335-6886.

Sincerely,

Signature Redacted

Heidi E. Washington, Director

Attachment
HW/22/cm

c: Executive Office
Office of the Auditor General
House Fiscal Agency
Senate Fiscal Agency
House Appropriations Sub-Committee on Corrections
Senate Appropriations Sub-Committee on Judiciary and Corrections
House Judiciary Committee
Senate Judiciary Committee
J. Anderson
J. Hamp
J. Juarez
C. MacKenzie
J. Sherry
A. Talcott
S. Pike

**Responses to Office of the Auditor General's Performance Audit of
Trust Accounting and Payroll System**

Summary Table	
Audit recommendations the agency complied with:	
4	
Audit recommendations the agency will comply with and expected compliance date	
1	12/31/2016
2	12/31/2016
3	09/30/2016

Corrective Action Plan

1. Improvements are Needed to TAPS User Access Controls

The auditors recommended that DOC fully implement user access controls over TAPS to help prevent or detect inappropriate access to TAPS data.

Agency Preliminary Response

DOC agrees and will comply by revising its security policy to include periodic account monitoring to ensure timely account deactivations and appropriate user privileges by job responsibility and business need. DOC has also established some automated downloads of personnel data and will investigate additional opportunities to identify staffing changes that require deactivation.

DOC will also fully implement access control requirements for TAPS regarding unsuccessful log-in attempts.

2. Improvements are Needed to TAPS Password Controls

The auditors recommended that DOC fully implement TAPS password controls to help ensure the authentication of users and the protection of TAPS data.

Agency Preliminary Response

DOC agrees and will comply by working with DTMB to fully implement current password configuration requirements for TAPS. Change order Request E-2140 was created to address this problem and has been added to the priority list.

3. Improved Communication is Needed over TAPS Change Control Process

We recommend that DOC improve its communication of the TAPS change control process.

Agency Preliminary Response

DOC agrees and will comply by revising its computer usage policy to include details on how to make DOC application change requests, posting a notice on the DOC internal Sharepoint website, and instructing users to report problems or improvements for any DOC applications when sending computer availability notifications to users.

4. Interface Design Documentation is Necessary

The auditors recommended that DOC and DTMB establish interface design documentation.

Agency Preliminary Response

DOC and DTMB agree and complied by establishing interface design documentation which will help to ensure the accuracy and completeness of all TAPS interfaces.