



Michigan
Office of the Auditor General
REPORT SUMMARY

Performance Audit

Report Number:
471-0320-12

Mental Health Services

Department of Corrections

Released:
September 2013

The mission of Mental Health Services (MHS) is to provide, to Department of Corrections (DOC) prisoners, mental health services that are efficient, effective, accessible, timely, and of a quality equal to or exceeding community standards. MHS provides institutional programs; counseling services and interventions; and corrections mental health programs, including Outpatient Mental Health Treatment, the Residential Treatment Program, the Adaptive Skills Residential Program, the Crisis Stabilization Program, Acute Care, and the Rehabilitative Treatment Services.

Audit Objective:

To assess the effectiveness of DOC's efforts to timely assess prisoners' need for mental health services.

Audit Conclusion:

We concluded that DOC's efforts to timely assess prisoners' need for mental health services were moderately effective. We noted two reportable conditions (Findings 1 and 2).

Reportable Conditions:

MHS did not conduct all evaluations and assessments within required time frames and did not document all required evaluations and assessments (Finding 1).

MHS did not document all of the required Brief Symptom Inventory (BSI) and Brief Psychiatric Rating Scale (BPRS) assessments for prisoners (Finding 2).

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Audit Objective:

To assess the effectiveness of DOC's efforts to provide mental health services in accordance with prisoners' individual treatment plans.

Audit Conclusion:

We concluded that DOC's efforts to provide mental health services in accordance with prisoners' individual treatment plans were moderately effective. We noted three reportable conditions (Findings 3 through 5).

Reportable Conditions:

MHS did not document or update all required individual treatment plans. Also, MHS did not document or update individual treatment plans within the required time frames (Finding 3).

MHS did not always complete and retain documentation of the prisoners' consent to treatment or the necessary assessments, evaluations, or hearings required for the prisoners' involuntary treatment (Finding 4).

MHS did not always document all required notes, reviews, evaluations, and assessments in prisoner mental health records (Finding 5).

Noteworthy Accomplishments:

In 2010 and again in 2013, MHS received a three-year accreditation from the Commission on Accreditation of Rehabilitation Facilities (CARF) International for its Outpatient Mental Health Treatment and Day Treatment Program (Residential Treatment Program).

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Audit Objective:

To assess the effectiveness of DOC's efforts to evaluate the outcomes of its mental health services program.

Audit Conclusion:

We concluded that DOC's efforts to evaluate the outcomes of its mental health services program were effective. However, we noted one reportable condition (Finding 6).

Reportable Condition:

MHS did not ensure that unit chiefs performed and completely documented all required monthly mental health record reviews (Finding 6).

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Audit Objective:

To assess the effectiveness of DOC's efforts to ensure that its mental health services providers complied with contract requirements.

Audit Conclusion:

We concluded that DOC's efforts to ensure that its mental health services providers complied with contract requirements were effective. Our audit report does not include any reportable conditions related to this audit objective.

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Audit Objective:

To assess the effectiveness of DOC's efforts to evaluate the efficiency of its mental health services program.

Audit Conclusion:

We concluded that DOC's efforts to evaluate the efficiency of its mental health services program were effective. Our audit report does not include any reportable conditions related to this audit objective.

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Agency Response:

Our audit report contains 6 findings and 7 corresponding recommendations. DOC's preliminary response indicates that MHS agrees with all of the recommendations and has taken steps to comply with them.

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A copy of the full report can be obtained by calling 517.334.8050 or by visiting our Web site at: <http://audgen.michigan.gov>



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