



MICHIGAN

OFFICE OF THE AUDITOR GENERAL

PRELIMINARY SURVEY SUMMARY

EMERGENCY MANAGEMENT AND
HOMELAND SECURITY DIVISION (EMHSD)

MICHIGAN DEPARTMENT OF STATE POLICE

August 2014



Doug A. Ringler, C.P.A., C.I.A.
AUDITOR GENERAL

The auditor general shall conduct post audits of financial transactions and accounts of the state and of all branches, departments, offices, boards, commissions, agencies, authorities and institutions of the state established by this constitution or by law, and performance post audits thereof.

– Article IV, Section 53 of the Michigan Constitution

Audit report information can be accessed at:

<http://audgen.michigan.gov>



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DOUG A. RINGLER, C.P.A., C.I.A.
AUDITOR GENERAL

August 22, 2014

Colonel Kriste Kibbey Etue, Director
Michigan Department of State Police
333 South Grand Avenue
Lansing, Michigan

Dear Colonel Etue:

This is our preliminary survey summary of the Emergency Management and Homeland Security Division (EMHSD), Michigan Department of State Police. Because we did not identify significant concerns that would warrant the additional use of our audit resources, we have decided to terminate this performance audit.

This summary contains our preliminary survey results, scope, and purpose and the EMHSD background.

We appreciate the courtesy and cooperation extended to us during our preliminary survey. If you have any questions, please call me or Laura J. Hirst, C.P.A., Deputy Auditor General.

Sincerely,

A handwritten signature in cursive script that reads "Doug Ringler".

Doug Ringler
Auditor General

PRELIMINARY SURVEY SUMMARY EMERGENCY MANAGEMENT AND HOMELAND SECURITY DIVISION MICHIGAN DEPARTMENT OF STATE POLICE

Preliminary Survey Results

We conducted a preliminary survey of the Emergency Management and Homeland Security Division (EMHSD), Michigan Department of State Police (MSP), and did not identify significant concerns that would warrant the additional use of our audit resources to complete a performance audit. Therefore, we have terminated the planned performance audit.

EMHSD Background

Mission: EMHSD's mission is to prevent, mitigate, prepare for, respond to, and recover from emergencies, disasters, and threats to our homeland.

Responsibilities: EMHSD supports the 115 local emergency management programs. EMHSD ensures that the local units' emergency planning documents are current, assists the local units in developing comprehensive and compatible disaster management and homeland security plans, and coordinates emergency management activities when needed.

Funding: For fiscal years 2012-13 and 2011-12, EMHSD's annual budget was supported by federal funds (91% and 92%), State General Fund/general purpose funds (5% and 4%), and State restricted funds (4% and 4%), respectively.

Expenditures: EMHSD expended \$58.5 million and \$62.4 million, including \$44.3 million and \$46.5 million distributed to local units for program operations, for fiscal years 2012-13 and 2011-12, respectively.

Employees: As of June 30, 2014, EMHSD had 61 full-time employees.

Preliminary Survey Scope

Our preliminary survey generally covered the period October 1, 2011 through June 30, 2014. We conducted our preliminary survey of the following significant EMHSD activities:

- Ensuring that the local units' emergency management planning documents are in accordance with federal and State planning standards.
- Administering and apportioning grants to local emergency management programs.
- Coordinating the emergency management activities of federal, State, county, and municipal governments.
- Preparing and maintaining a State emergency management plan that encompasses mitigation, preparedness, response, and recovery.
- Providing training for local emergency and homeland security preparedness.

Preliminary Survey Purpose

Within a performance audit, we design the preliminary survey to obtain an understanding of the core activities within an entity or a program and to identify potential program improvements and/or deficiencies that could impair management's ability to conduct its operations in an effective and efficient manner. If the results of a preliminary survey do not identify significant concerns, our practice is to terminate the planned performance audit.

Preliminary survey procedures are limited in nature and should not be considered a completed performance audit in accordance with *Government Auditing Standards* issued by the Comptroller General of the United States. In addition, our preliminary survey procedures would not necessarily disclose the presence or absence of any material conditions and/or reportable conditions. Given that the procedures we employed did not constitute a performance audit, we will not issue a performance audit report and we do not express conclusions regarding EMHSD's effectiveness and efficiency.

